

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of Gerrit Klaerner et al.

Serial No. 09/609,461

Filed July 3, 2000

For POLYMER BRUSHES FOR IMMOBILIZING MOLECULES TO A SURFACE  
OR SUBSTRATE, WHERE THE POLYMERS HAVE WATER-SOLUBLE OR  
WATER-DISPERSIBLE SEGMENTS AND PROBES BONDED THERETO

October 23, 2000

U.S. Patent and Trademark Office  
Assignment Division  
Box Assignments, CG-4  
1213 Jefferson Davis Highway, Suite 320  
Washington, D.C. 20231

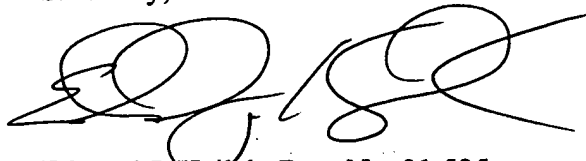
Sir:

\*

The enclosed copy of the Notice of Recordation of the Assignment document regarding the above-identified application has an error in the Assignor names: KLAEMER, GERRIT should be KLAERNER, GERRIT.

Please send us a corrected copy of the Notice of Recordation.

Sincerely,



Edward J. Hejlek, Reg. No. 31,525  
SENNIGER, POWERS, LEAVITT & ROEDEL  
One Metropolitan Square, 16th Floor  
St. Louis, Missouri 63102  
(314) 231-5400

EJH/dep

\*Enclosure



RECEIVED NOV 28 2000 Smx 3093  
UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

NOVEMBER 17, 2000

PTAS  
SENNIGER, POWERS, LEAVITT & ROEDEL  
DERICK E. ALLEN (EJH)  
ONE METROPOLITAN SQUARE  
16TH FLOOR  
ST. LOUIS, MISSOURI 63102



\*101512114A\*

RECORDED  
NOTICE

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 08/03/2000

REEL/FRAME: 011026/0698  
NUMBER OF PAGES: 9

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
KLAERNER, GERRIT ✓

DOC DATE: 07/25/2000

ASSIGNOR:  
NIELSEN, RALPH B. ✓

DOC DATE: 07/25/2000

ASSIGNOR:  
MANSKY, PAUL ✓

DOC DATE: 07/21/2000

ASSIGNOR:  
BENOIT, DIDIER ✓

DOC DATE: 07/21/2000

ASSIGNOR:  
CHARMOT, DOMINIQUE ✓

DOC DATE: 07/25/2000

ASSIGNOR:  
JANDELEIT, BERND ✓

DOC DATE: 07/25/2000

ASSIGNOR:  
MAZZOLA, LAURA T. ✓

DOC DATE: 07/21/2000

011026/0698 PAGE 2

ASSIGNEE:

SYMYX TECHNOLOGIES, INC.✓  
3100 CENTRAL EXPRESSWAY  
SANTA CLARA, CALIFORNIA 95051

SERIAL NUMBER: 09609461✓  
PATENT NUMBER:

FILING DATE: 07/03/2000✓  
ISSUE DATE:

SEDLEY PYNE, PARALEGAL  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

11-16-2000

RECORDATION



101512114

To the Honorable Commissioner of Patents and Trademarks Please record the attached original document(s) or copy thereof.

## 1. Name of conveying party(ies) (assignor(s)):

Gerrit Klaerner, Ralph B. Nielsen, Paul Mansky, Didier Benoit, Dominique Charmot, Bernd Jandeleit, Laura T. Mazzola

Additional name(s) of conveying party(ies) attached? ☐ yes ☒ no

## 2. Name and Address of Party(ies) receiving an interest (assignee(s)):

Name: Symyx Technologies, Inc.

Address: 3100 Central Expressway

City: Santa Clara

State: California

Zip: 95051

Country: U.S.A.

Additional name(s) & address(es) attached? ☐ yes ☒ no

## 3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Change of Name☐ Verified Translation☐ Security Agreement☐ Other \_\_\_\_\_

Execution Date: July 21, 2000, July 24, 2000 and July 25, 2000

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date(s) of the application is: \_\_\_\_\_

A. Patent Application No(s):

09/609,461

B. Patent No(s):

Others on additional sheet(s) attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Derick E. Allen

Senniger, Powers, Leavitt &amp; Roedel

One Metropolitan Square

16th Floor

St. Louis, Missouri 63102

(314) 231-5400 (telephone)

## 6. Total number of applications and patents involved:

Application(s) 1 + Patent(s): 1 = Total 1

## 7. Total Fee (37 CFR 3.41) ..... \$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

## 8. Deposit Account Number: 19-1345

(Duplicate copy of this sheet attached)

☒ Charge any underpayment or credit any overpayment to above Deposit Account

DO NOT USE THIS SPACE:

## 9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Derick E. Allen

Name of Person Signing

Signature

8-1-00

Date

Total number of pages including cover sheet, attachments, and document:

08/30/2000 NTH11 00000007 09609461

01 FC:581

40.00 DP

9